



Whole sequence analysis indicates a recent southern origin of Mongolian Y-chromosome C2c1a1a1-M407

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Abstract

The Y-chromosome haplogroup C2c1a1a1-M407 is a predominant paternal lineage in Mongolic-speaking populations, especially in Buryats and Kalmyks. However, the origin and internal phylogeny of C2c1a1a1-M407 have not been investigated in detail. In this study, we analyzed twenty-three Y-chromosome sequences of haplogroup C2c1a1a1-M407 and its most closely related clades. We generated a high-resolution phylogenetic tree of haplogroup C2c1a1a1-M407 and its upstream clade C2c1a1-CTS2657, including 32 subclades and 144 non-private Y-chromosome polymorphisms. We discover that all available C2c1a1a1-M407 samples from Mongolic-speaking populations belong to its newly defined downstream clade C2c1a1a1b-F8465, whereas all samples of C2c1a1-CTS2657(xF8465) come from northern Han Chinese, Korean, and Japanese. Furthermore, we observe that C2c1a1a1b-F8465 and its subclade C2c1a1a1b1-F8536 expanded at approximately 0.86 and 0.44 thousand years ago, respectively. Therefore, we conclude that C2c1a1a1-M407 in Mongolic-speaking populations has originated from northeastern Asia. C2c1a1a1b1-F8536, the newly defined subclade of C2c1a1a1-M407, probably represents the genetic relationships between ancient Oyrats, modern Kalmyks, Mongolians, and Buryats.

Keywords C2c1a1a1-M407 · Mongolic-speaking · Han Chinese · Y-chromosome sequence

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Introduction

The Y-chromosome single nucleotide polymorphism (Y-SNP) marker M407 was first reported by Sengupta et al. 2006. Previous studies have regarded haplogroup C2c1a1a1-M407 as a predominant paternal lineage in Mongolic-speaking populations, such as Buryats, Kalmyks, and Mongolians in northeastern Asia. However, haplogroup C2c1a1a1-M407 was rarely seen in other Eurasian populations, including Han Chinese from China (Zerjal et al. 2002; Malyarchuk et al. 2010, 2013; Zhong et al. 2010). Additionally,

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C2c1a1a1-M407 has a special Y-chromosome short tandem repeat (Y-STR) cluster, characterized by allelic combination 11/11 at the DYS385a/b loci (Malyarchuk et al. 2010, 2016). C2c1a1a1-M407 has also been determined to be the Y-haplotype of Batu-Möngke Dayan Khan (1474–1517 AD, the ruler of North Yuan Khanate), who was a direct descendant of Genghis Khan (Batbayar and Sabitov 2012).

Previous studies suggested that the distribution of C2c1a1a1-M407 in North Asia resulted from the dispersal of Mongolic-speaking populations, and the expansion time with Y-STR was about 1.95 ± 1.26 ky (Malyarchuk et al. 2010, 2013; Zhong et al. 2010). Moreover, an analysis of full Y-chromosome sequences indicated that all of C2c1a1a1-M407 samples in Buryats belonged to a relatively young subclade (~1 kya, Karmin et al. 2015). All the above results suggested that C2c1a1a1-M407 had experienced a rapid expansion in Mongolic-speaking populations. However, previous studies indicated that all subclades of C2c-F1067 appeared in northeastern Asia, like northern China, Korea and Japan, excepting C2c1a1a1-M407 (Lippold et al. 2014; Yan et al. 2014; Karmin et al. 2015; Poznik et al. 2016; Mallick et al. 2016). Therefore, we would like to investigate whether C2c1a1a1-M407 also originated from northeastern Asia, how C2c1a1a1-M407 migrated to North Asia, and how C2c1a1a1-M407 successfully expanded in Mongolic-speaking populations.

In this study, we analyzed the whole Y-chromosome sequences and Y-STR data of C2c1a1a1-M407 and its most closely related clades from a broad geographical scale, and constructed a phylogeographic distribution and a revised phylogenetic tree. We also connected C2c1a1a1-M407 and its most closely related clades with Buryats, Mongolians, ancient Oyrats, and modern Kalmyks. The investigation on haplogroup C2c1a1a1-M407 and its upstream clade C2a1a1-CTS2657 will be helpful to explore the origin and diffusion process of Mongolic-speaking and other populations in Northeastern Asia.

Methods

Blood or saliva samples were collected from unrelated healthy males in East Eurasia. All individuals signed their informed consent forms before their participation. The ethics committee for biological research at the School of Life Sciences in Fudan University (Shanghai, China) approved the study.

Genomic DNA was extracted using the DP-318 Kit (Tiangen Biotechnology, Beijing, China) according to the manufacturer's protocol. The Y-chromosome marker M130 was genotyped to identify haplogroup C-M130 samples within 6348 samples from 74 populations (Table S1 "This study"). In total, 947 individuals with the derived

allele at M130 were subjected to further typing of ten biallelic markers: C1a1-M8, C1b1a1-M356, C1b2a-M38, C2-M217, C2a-M93, C2c1a1a1-M407, C2b1a1a-P39, C2b1a2-M48, C1b2b-M347, and C-P53.1. Seventeen Y-short tandem repeat markers (Y-STR) (DYS19, DYS389I/II, DYS390, DYS391, DYS392, DYS393, DYS437, DYS438, DYS439, DYS448, DYS456, DYS458, DYS635, Y-GATA H4, and DYS385a/b) were amplified using the AmpFISTR® YFiler™ PCR Amplification Kit (Applied Biosystems, Foster City, CA, USA). Amplification products were analyzed using the ABI 3730 and ABI 3130 Genetic Analyzers (Applied Biosystems). Electrophoresis results were analyzed using Genscan v. 3.7 and Genotyper v. 3.7 (Applied Biosystems).

The DNA of seven CTS2657+ samples was sent for next-generation sequencing on the Illumina HiSeq2000 platform (Illumina, San Diego, CA, USA). We designed a series of bait libraries to capture the sequences of ~11 M region on the Y-chromosome. We used the procedure that we described previously for the other steps prior to next-generation sequencing, i.e., DNA shearing, adding an adaptor, and gel electrophoresis (Yan et al. 2014). Typical procedures were used for next-generation sequencing analyses and the determination of genotypes and haplogroups for each sample (Li et al. 2009; Li and Durbin 2010). The raw sequence data reported in this paper have been deposited in the Genome Sequence Archive (GSA) (Wang et al. 2017) in the BIG Data Center (Members BIGDC 2017), Beijing Institute of Genomics (BIG), Chinese Academy of Sciences, under an accession number PRJCA000359 that is publicly accessible at <http://bigd.big.ac.cn/gsa>.

We followed the standard procedures (bwa + samtools) to analyze the next-generation sequencing results (Li and Durbin 2009; Li et al. 2009). We applied a series of strict filters on the original variants file, including: (1) restriction to 8.47 M bp confident region reported by Adamov et al. 2015, which is also a subset of region used by our laboratory, Poznik et al. 2016 and Karmin et al. 2015; (2) restriction to variants that are Y-SNP; (3) removal of all positions with call rate < 90% on all samples; (4) removal of position with heterozygosity call rate > 5% on all samples; (5) base coverage ≥ 3 , base quality > 20, and distance between SNPs > 10 bp; (6) removal of all recurrent or triadic mutations even though they are confident on the currently known phylogenetic tree. Back imputation was also done on some Y-SNP markers according to the known phylogenetic tree, so as to infer missing genotype on some samples. A number of variants were determined after the analysis of Y-chromosome sequences. We followed the regulations proposed by the Y Chromosome Consortium (YCC) to revise the phylogenetic tree with respect to new variants in the non-recombining region of the Y chromosome (Y Chromosome Consortium 2002).

We used twenty-three Y-chromosome sequences to construct the phylogeny of haplogroup C2c1a1a1-M407. The detailed information of studied samples was listed in Supplementary Table S1. Six sequences came from this study, two from Yan et al. (2014), two from Lippold et al. (2014), nine from Karmin et al. (2015), three from 1000 Genomes Project (Y Chromosome Consortium 2002), and one from Lu et al. (2016).

We collected frequencies and Y-STR data for haplogroup C-M130 in 218 East Eurasian populations from previous studies (Supplementary Table S1 and S2). The data including 15 Y-STR polymorphisms (excluding DYS385a/b from 17 Y-STRs) were used to construct the reduced-median network by the program NETWORK 5.0.0.0 (Fluxus Engineering). The TMRCA of Y-STR data was estimated using average squared distances (ASD) (Zhitovitsky 2001; Ramakrishnan and Mountain 2004; Sengupta et al. 2006). The genealogical mutation rate cited from <http://www.YHRD.org> was utilized for time estimates.

Sixteen Y-chromosome sequences of C2c1a1a1-M407 and its most closely related clades were used for time estimates (ESM_2). The outgroup consisted of 21 samples from haplogroups C2-M48, C2*-Star Cluster, D-M174, NO* (Ust' Ishim, Fu et al. 2014), N-M231, and O1-M95. The number of variants was determined after the analysis of Y-chromosome sequences. The age of haplogroup CT-M168 (71,760 years, 95% confidence interval [CI]=69,777–73,799 years) (Karmin et al. 2015) and the splitting time (470 ± 20 years ago) of samples (YCH508 and YCH1981) from the Aisin Gioro family (Wei et al. 2016) were used as calibration points for time estimates.

BEAST v.2.4.3 was employed to estimate the coalescent times for haplogroup C2c1a1a1-M407 and its most closely related clades (Bouckaert et al. 2014). A Bayesian skyline coalescent tree prior was selected with the bModelTest package in Beast 2.0 software to improve the substitution model. The calculation was performed with 10 million iterations and sampling every 1000 steps. The results were visualized in Tracer v.1.6 and FigTree v1.4.2 with a burn-in of 20%, and all effective sample sizes were above 200.

Results

Since all available M407 samples and their close relatives have the derived state at marker CTS2657, we redefine haplogroup C2c1a1-CTS2657. The revised phylogenetic tree of haplogroup C2c1a1-CTS2657 contains 32 subclades, 144 non-private polymorphisms, and a number of private mutations (Fig. 2, Supplementary Table S3). The geographic locations of studied samples are in Supplementary Table S1, and their Y-STRs are in Supplementary Table S2. Detailed information for all Y-chromosome

polymorphisms within haplogroup C2c1a1-CTS2657 is provided in Supplementary Table S4. Since all available C2c1a1a1-M407 samples from Mongolic-speaking populations belong to a new marker F8465, we define C2c1a1a1b-F8465 as a new downstream clade of C2c1a1a1-M407. We determine that two F8465 samples of special Y-STR cluster DYS385a/b = 11/11 belong to a new marker F8536 by sequencing results. Therefore, we define C2c1a1a1b1-F8536 as a new subclade of C2c1a1a1b-F8465.

The distribution of haplogroup C2c1a1a1-M407 and the sampling sites of haplogroup C2c1a1-CTS2657(xF8465) are shown in Fig. 1. Samples of haplogroup C2c1a1-CTS2657(xF8465) mainly come from northern and northeastern China, Korea, and Japan, which are indicated by red triangles on Fig. 1. Based on all available data, haplogroup C2c1a1-CTS2657(xF8465) is not present in Mongolia and Siberia. By contrast, the distribution center of C2c1a1a1-M407 (including all F8465 samples) is observed in the Transbaikalian region of Siberia and northeastern Mongolia (Fig. 1).

The revised phylogenetic tree and distribution map reveal a clear phylogeographic pattern of haplogroup C2c1a1-CTS2657 (Figs. 1, 2). According to Fig. 2, all available C2c1a1a1-M407 samples from Mongolic-speaking populations belong to its downstream clade C2c1a1a1b-F8465. Both C2c1a1a1b-F8465 and its subclade C2c1a1a1b2-B97 give birth to a large number of subclades (Fig. 2), which represent a signal of successful expansion. Time estimates are also shown in Fig. 2. Haplogroup C2c-F1067 split from its upstream clade C2-M217 at around 35 kya. As a subclade of C2c-F1067, haplogroup C2c1a1-CTS2657 is separated from its most closely related clades at about seven kya. Haplogroup C2c1a1a1-M407 emerged at around five kya, and generated two subclades C2c1a1a1b-F8465 and C2c1a1a1b1-F8536 within one ky. We observe that C2c1a1a1b-F8465 has successful expansions in Mongolic-speaking populations from the Y-SNP phylogenetic tree.

The Y-STR network (Fig. 3) for haplogroup C2c1a1a1-M407 consists of C2c1a1a1-M407(xF8536) and its downstream clade C2c1a1a1b1-F8536 (equal to special Y-STR cluster DYS385a/b = 11/11 in this study). The samples of C2c1a1a1-M407(xF8536) from Mongolic-speaking populations (including Buryats, Mongolians, and Kalmyks) mainly have close distances from each other, whereas samples from non-Mongolic-speaking populations (indicated by the word "Others" and the light pink color) disperse at the end of branches. The samples of C2c1a1a1b1-F8536 show a star-like expansion pattern and locate near the samples of C2c1a1a1-M407(xF8536) from Mongolic-speaking populations. This scenario indicates that C2c1a1a1-M407 had recent expansions in Mongolic-speaking populations.

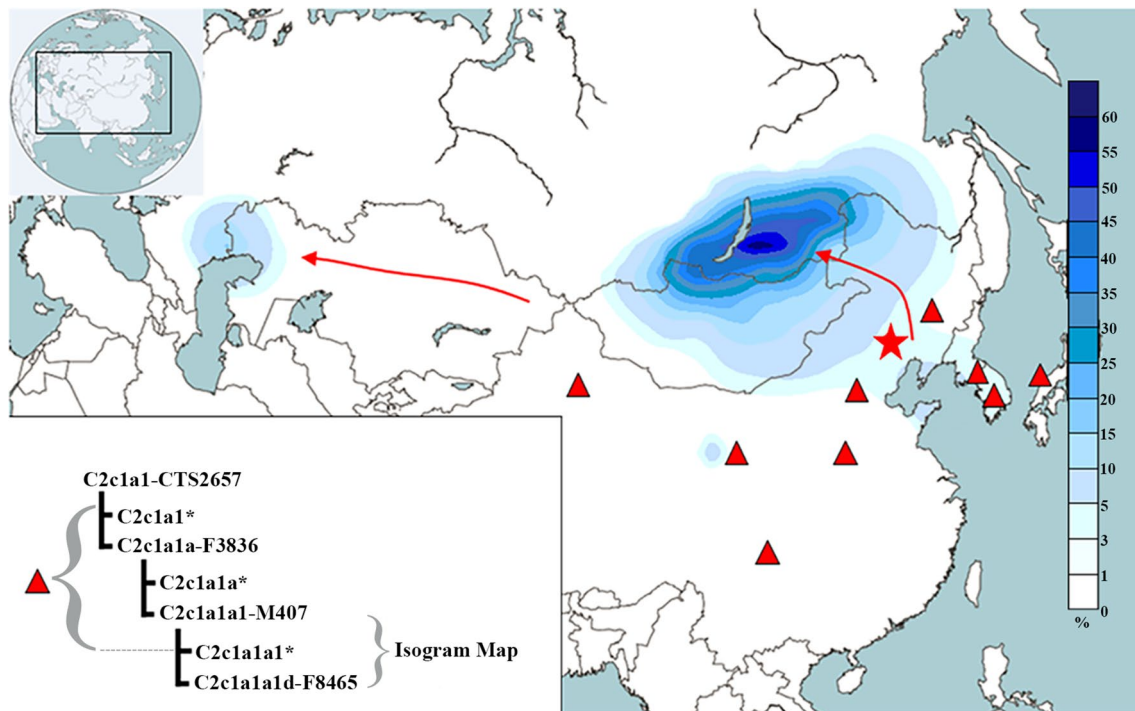


Fig. 1 Phylogeographic distributions of haplogroup C2c1a1a1-M407 and its closely related clades. We generated the maps by the Generic Mapping Tools 4.5.7 (GMT 4.5.7, <https://www.soest.hawaii.edu/gmt/>). We showed frequencies (%) of haplogroup C2c1a1a1-M407 by blue colour, and the red arrows indicated the migration routes. The

red star represented the most likely origin of haplogroup C2c1a1a1-M407. The red triangles showed the locations of samples of haplogroup C2c1a1-CTS2657(xF8465), and the note in the bottom-left corner listed the specific subclades used in the map. (Color figure online)

Discussion

In this paper, we provide a revised phylogenetic tree of haplogroup C2c1a1a1-M407 with newly defined subclades C2c1a1a1b-F8465 and C2c1a1a1b1-F8536 (Fig. 2). Our result indicates that subclade C2c1a1a1b-F8465 is specific for Mongolic-speaking populations. The special Y-STR cluster $DYS385a/b = 11$, 11 of C2c1a1a1b-F8465 is defined as a new subclade C2c1a1a1b1-F8536, which was also widespread in Mongolic-speaking populations (Malyarchuk et al. 2010, 2016).

The geographic distribution of C2c1a1a1-M407 is dramatically different from that of C2c1a1-CTS2657x(F8465) (Fig. 1). C2c1a1a1-M407 (all belong to C2c1a1a1b-F8465 in this study) mainly locates in southern Siberia and Mongolia, while C2c1a1-CTS2657x(F8465) focuses on northeastern Asia, including northern and northeastern China, Korea and Japan (Fig. 2). The time estimates also show that C2c1a1a1-M407 underwent a rapid expansion in Mongolic-speaking populations at approximately 0.86 kya, while C2c1a1-CTS2657(xF8465) dispersed in northeastern Asia between 5.83 and 0.86 kya (Fig. 2). Therefore, we propose that C2c1a1a1-M407 in Mongolic-speaking populations originated from northeastern Asia.

Furthermore, the distribution of C2c1a1a1-M407 provides insight into the internal differentiation of Mongolic-speaking populations, because all C2c1a1a1-M407 samples belong to C2c1a1a1b-F8465 in this study. C2c1a1a1-M407 has high frequencies (> 50%, Supplementary Table S1) in northeastern Asian populations: Buryats (Mongolic-speaking), Sojots and Khamnigans (Turkic-speaking), who live near to Lake Baikal (Malyarchuk et al. 2010; Zhong et al. 2010). Besides, moderate frequencies (around 10%, Supplementary Table S1) of C2c1a1a1-M407 are also detected in Mongolian and Kalmyks (Mongolic-speaking). By contrast, the frequencies of C2c1a1a1-M407 in other Mongolic-speaking populations are relatively low or absent (Supplementary Table S1). However, previous studies showed that C2*-Star Cluster was the most predominant paternal lineage of all Mongolic-speaking populations, not C2c1a1a1-M407 (Derenko et al. 2007; Malyarchuk et al. 2010; Zhong et al. 2010; Di Cristofaro et al. 2013). Thus, our results suggest that Buryats assimilated most C2c1a1a1-M407 samples and brought this haplogroup to other Mongolic-speaking populations.

The expansion pattern of C2c1a1a1-M407 is consistent with the history of Oyrats to some degree. Previous studies showed that Kalmyks were the descendants of Oyrats, originating from Western Mongolia (Jungaria). The Oyrat

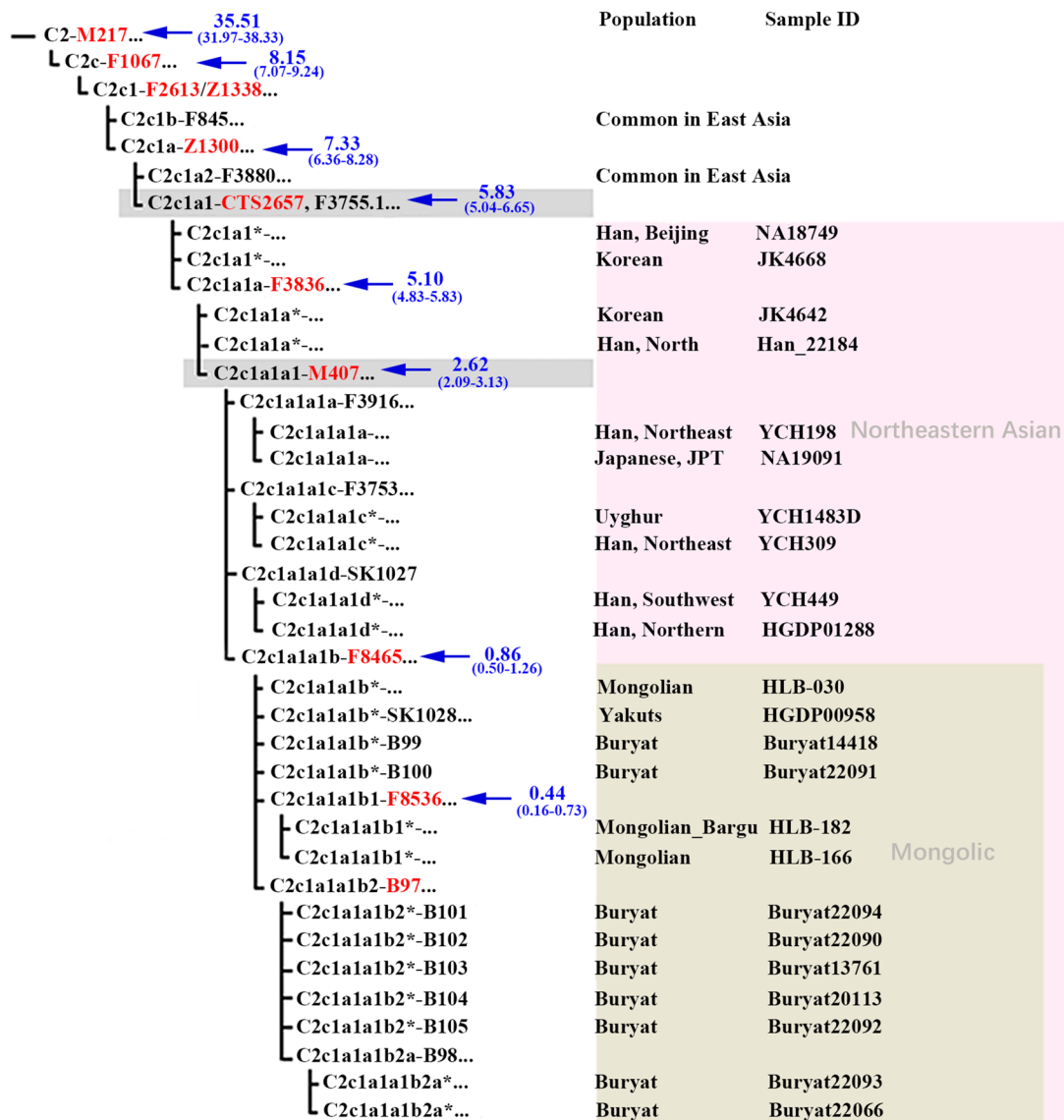


Fig. 2 Revised phylogenetic tree and time estimates for haplogroup C2c1a1a1-M407 and its upstream clade C2c1a1-CTS2657. The red and blue words represented the important subclades of haplogroup C2-M217 and their time estimates, respectively. The two studied subclades M407 and CTS2657 were shown by grey highlights. The light

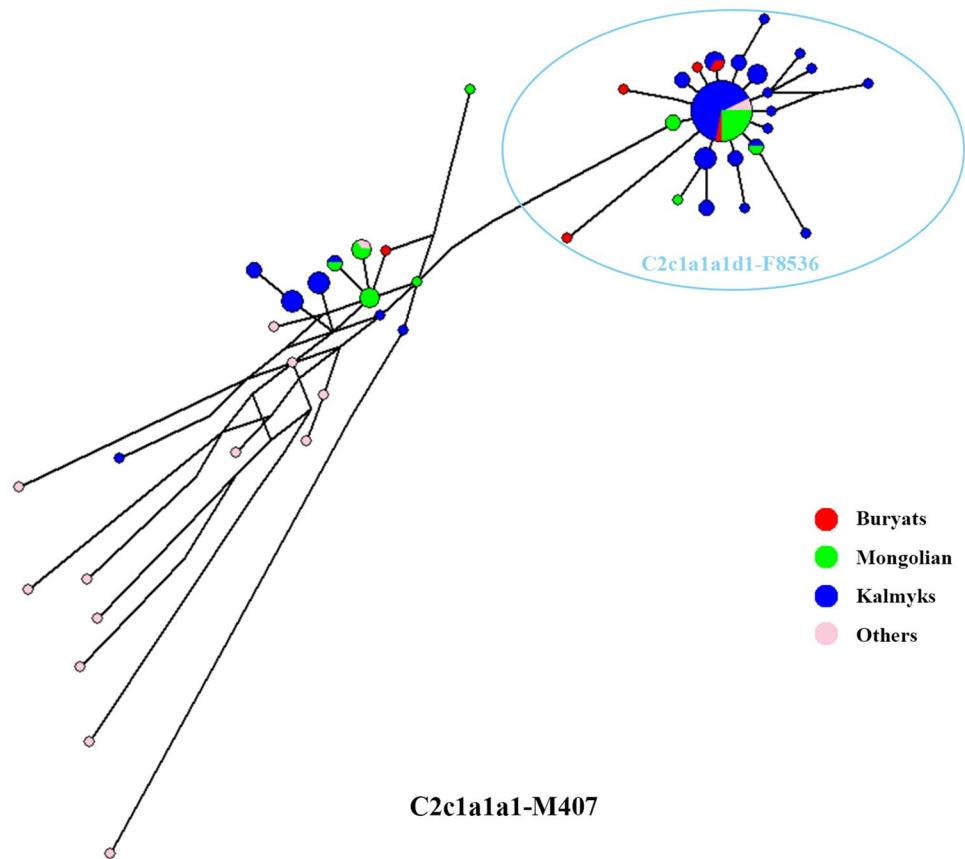
pink part indicated that most samples of M407 and CTS2657 come from northeastern Asia. The light green part showed that all samples of haplogroup C2a1a1b1-F8465 come from Mongolic-speaking populations. (Color figure online)

confederation was established in the thirteenth century. During the late 16th and early seventeenth centuries, most Oyrats firstly migrated to the steppes of Western Siberia, and then relocated to the left bank of the Volga River. In the late seventeenth century, Oyrats formed the Kalmyk Khanate on the Lower Volga and became a new Mongolic-speaking ethnic group, Kalmyks (Nasidze et al. 2005; Malyarchuk et al. 2013). Our results show that C2c1a1a1-M407 is present in the Volga River (Fig. 1). Additionally, haplogroup C2c1a1a1-M407 has many samples in Kalmyks, especially in its subclade C2c1a1a1b1-F8536 (Fig. 3). The TMRCA of C2c1a1a1b1-F8536 is 0.44 kya (Fig. 2), which mainly

corresponds with the history of Oyrats. Thus, we suggest that Oyrats had brought C2c1a1a1-M407 to western Eurasia and generated C2c1a1a1b1-F8536 in the modern Kalmyks in Russia. What is more, Mongolian and Buryats also have several F8536 samples (Fig. 3). Therefore, we propose that haplogroup C2c1a1a1b1-F8536 probably represents the genetic relationships between ancient Oyrat, and modern Kalmyk, Mongolian, and Buryat populations.

In conclusion, our studies provide a high-resolution phylogenetic tree and a clear phylogeographic pattern of haplogroup C2c1a1a1-M407. We propose that C2c1a1a1-M407 in Mongolic-speaking populations has originated

Fig. 3 Y-STR network for haplogroup C2c1a1a1-M407. The points on the network were colored according to the color code presented in the bottom-right color illustration. The light blue circle represented samples of haplogroup C2c1a1a1b1-F8536 (the downstream clade of C2c1a1a1-M407, equal to special Y-STR cluster DYS385a/b = 11/11 in this study). (Color figure online)



from northeastern Asia. Moreover, C2c1a1a1b1-F8536, the newly defined subclade of C2c1a1a1-M407, probably represents the genetic relationships between ancient Oyrats, modern Kalmyks, Mongolians, and Buryats. However, we need more ancient DNA, extensive Y-SNP genotyping and whole Y-chromosome sequencing to reveal the origin and migration of Mongolic-speaking populations in the future.

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Compliance with ethical standards

Conflict of interest The authors declare no conflict of interest.

Research involving human participants and/or animals All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research

committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent Informed consent was obtained from all individual participants included in the study.

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